

# BNY Mellon Investment Management

QUICK FACTS | CANADA | JUNE 30, 2020

BNY Mellon Investment Management is a leading investment manager and one of the top U.S. wealth managers, with \$2.0 trillion in assets under management as of June 30, 2020. Through an investor-first approach, the firm brings to clients the best of both worlds: specialist expertise from eight professional investment managers offering solutions across every major asset class, backed by the strength, stability, and global presence of BNY Mellon.

BNY Mellon Investment Management is a division of BNY Mellon, which has \$37.3 trillion in assets under custody and/or administration as of June 30, 2020. BNY Mellon can act as a single point of contact for clients looking to create, trade, hold, manage, service, distribute or restructure investments. BNY Mellon is the corporate brand of The Bank of New York Mellon Corporation (NYSE: BK). Additional information is available on [www.bnymellon.com](http://www.bnymellon.com). Follow us on Twitter @BNYMellon or visit our newsroom at [www.bnymellon.com/newsroom](http://www.bnymellon.com/newsroom) for the latest company news.

**\$2.0  
trillion**

**in assets under  
management**

(BNY Mellon as of June 30, 2020)

**7th  
largest**

**asset manager  
worldwide**

(Willis Towers Watson, September 30, 2019)

**5th  
largest**

**U.S. institutional  
money manager**

(Pensions & Investments, June 1, 2020)

**With a global  
presence in...**

Amsterdam  
Atlanta  
Boston  
Chicago  
Dallas  
Denver  
Dubai  
Edinburgh  
Frankfurt  
Greenwich

Hong Kong  
London  
Los Angeles  
Luxembourg  
Madrid  
Miami  
Milan  
Moscow  
New York

Paris  
Philadelphia  
Pittsburgh  
Pune  
Rio de Janeiro  
San Francisco  
Santiago

São Paulo  
Seoul  
Singapore  
Stockholm  
Sydney

Tokyo  
Toronto  
Zurich

# Our Investment Firms

AUM in USD as of June 30, 2020. Siguler Guff data is as of March 31, 2020.

**Great minds don't think alike: the power of independent thinking.**



**\$36.3 billion**

Global sub-investment grade debt asset management.



**\$4.3 billion**

Brazilian equity; long/short; macro; corporate bonds and fixed income strategies.



**\$265.1 billion**

Full array of money market funds and select offshore liquidity funds.



**\$908.7 billion**

Leader in global fixed income, risk management solutions and absolute return investing.



**\$534.0 billion**

A global multi-specialist investment manager with a full spectrum of research-driven solutions.



**\$57.0 billion**

Active manager of equity, bond, multi-asset, absolute-return, income and sustainable solutions within a global thematic framework.



**\$13.9 billion**

Multi-strategy private equity investing, direct investment and multi-manager funds.

**WALTER SCOTT**

**\$73.9 billion**

Global equity investment management.

Siguler Guff is a minority interest. Please see disclosures in the conclusion. Unless otherwise indicated, all financial data is shown in USD.

# Investment Strategies

▲ Active | ▼ Index/Enhanced | ■ Fund-of-Funds

## Equity

	Alcentra	ARX	Dreyfus Cash Investment Strategies	Insight Investment	Mellon	Newton Investment Management	Siguler Gurf	Walter Scott
Asia Pacific (Ex. Japan)				▼	▲			
Brazil		▲						
Developed (Ex. U.S.)				▼	▲			▲
Emerging Markets		▲		▼	▲			▲
European			▼	▼	▲			▲
Global				▼	▲			▲
Japan				▼				
Real Estate Securities (REITs)			▲	▼				
Smart Beta Equity Strategies				▼				
U.K.				▼	▲			
U.S.				▼	▲			▲

## Fixed Income

Asia Pacific				▲				
Cash Management (U.S., Euro, Sterling, Other)		▲	▲	▲				
Convertible Bonds				▼				
Core/Core Plus			▲	▼				
Corporate Credit	▲		▲	▼				
Direct Lending	▲		▲	▼				
Emerging Markets		▲	▲	▼				
European	▲		▲	▲				
Global	▲		▲	▼	▲			
Government/Sovereign Fixed Income			▲	▼	▲			
High Yield	▲		▲	▼	▲			
Inflation-Linked			▲	▼				
Long Duration			▲	▼				
Mortgages			▲	▼				
Senior Secured Loans	▲		▼					
Short/Intermediate Duration	▲		▲	▼				
Structured Credit/ABS	▲		▲	▼				
Tax-Sensitive				▲				
U.K.	▲		▲		▲			
U.S.	▲		▲	▼				

## Alternatives

Absolute Return		▲		▲	▲			
Global Macro				▲	▲			
Hedge Funds	▲	▲		■	▲			
Infrastructure				▲	▲			
Market Neutral/Long-Short		▲		▲	▲			
Master Limited Partnerships								
Multi-Strategy	▲	▲		■	▲		■	
Natural Resources/Commodities				▲	▲		■	
Portable Alpha				▲	▲			
Private Equity							▲	
Private Real Estate				▲			■	

## Solutions

Balanced/Multi-Asset Class	▲	▲		▼	▼	▲	▲	
Dynamic Hedge Strategies (CPPI)				▲	▲			
FX/Currency Management				▲	▲			
Liability-Driven Investing				▼	▼			
Life Cycle/Target Date					▼			
Overlay/Futures/Swaps				▲	▲			
Responsible Investing				▲	▼	▲	▲	
Risk Parity					▼			
Thematic					▲			

Please note: Not all strategies may be available to all investors in all markets.

BNY Mellon has been serving clients in Canada for over 30 years and has had a physical presence in the country since 1983. We currently maintain offices in Toronto, London (Ontario), Calgary, Halifax, Montreal and Vancouver and provide a comprehensive range of services in asset servicing, asset management, corporate trust, investment services, wealth management, treasury services and technology.

BNY Mellon Asset Management Canada oversees the distribution of investment services offered by BNY Mellon's affiliated investment management firms and is one of the leading investment management organizations in Canada.

For further  
information,  
please contact:

**Institutional**

**Michael Parsons**

416-840-8019

michael.parsons@bnymellon.com

**Retail Sub-Advisory**

**Tim Wilcox**

416-840-8017

tim.wilcox@bnymellon.com

**Retail Sub-Advisory**

**Howard Gross**

416-840-8011

howard.gross@bnymellon.com

**Client Service**

**David McKee**

416-840-8021

david.mckee@bnymellon.com

**All investments involve risk including loss of principal. Certain investments involve greater or unique risks that should be considered along with the objectives, fees, and expenses before investing.**

**BNY Mellon Asset Management Canada Ltd., 200 Wellington Street West, Suite 305, Toronto, ON M5V 3C7**

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Unless otherwise noted, all references to assets under management (which are approximate) are as of December 31, 2019. Assets under management (AUM)/overlay under management (OUM) for Mellon includes assets managed by those individual firms' officers as associated persons, dual officers or employees of BNY Mellon Investment Management. In addition, AUM/OUM for the following firms includes assets managed by the individual firms' officers as dual officers or employees of The Bank of New York Mellon: BNY Mellon Investment Adviser, Inc. and its Dreyfus Cash Investment Strategies division, Newton Investment Management (North America) Limited and Mellon Investments Corporation.

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**7th Largest Asset Manager Worldwide:** Willis Towers Watson, September 30, 2019. Based on discretionary assets under management of the top 500 asset managers at the end of 2018; does not include saving/current accounts or assets unrelated to investment business, money market funds, advisory portfolios, or transactional assets. Analysis based on data supplied by third parties in U.S. dollars.

**5th Largest U.S. Institutional Money Manager:** Pensions & Investments, June 1, 2020. Rankings based on a survey of 505 investment management firms that provided information in response to an online survey. In order to qualify for inclusion, the firm must manage assets for U.S. institutional tax-exempt clients. Ranked by total worldwide institutional assets under management as of 12/31/2019.