

BNY Mellon Investment Management

QUICK FACTS | CANADA | JUNE 30, 2020

BNY Mellon Investment Management is a leading investment manager and one of the top U.S. wealth managers, with \$2.0 trillion in assets under management as of June 30, 2020. Through an investor-first approach, the firm brings to clients the best of both worlds: specialist expertise from eight professional investment managers offering solutions across every major asset class, backed by the strength, stability, and global presence of BNY Mellon.

BNY Mellon Investment Management is a division of BNY Mellon, which has \$37.3 trillion in assets under custody and/or administration as of June 30, 2020. BNY Mellon can act as a single point of contact for clients looking to create, trade, hold, manage, service, distribute or restructure investments. BNY Mellon is the corporate brand of The Bank of New York Mellon Corporation (NYSE: BK). Additional information is available on www.bnymellon.com. Follow us on Twitter @BNYMellon or visit our newsroom at www.bnymellon.com/newsroom for the latest company news.

**\$2.0
trillion**

**in assets under
management**

(BNY Mellon as of June 30, 2020)

**7th
largest**

**asset manager
worldwide**

(Willis Towers Watson, September 30, 2019)

**5th
largest**

**U.S. institutional
money manager**

(Pensions & Investments, June 1, 2020)

**With a global
presence in...**

Amsterdam
Atlanta
Boston
Chicago
Dallas
Denver
Dubai
Edinburgh
Frankfurt
Greenwich

Hong Kong
London
Los Angeles
Luxembourg
Madrid
Miami
Milan
Moscow
New York

Paris
Philadelphia
Pittsburgh
Pune
Rio de Janeiro
San Francisco
Santiago

São Paulo
Seoul
Singapore
Stockholm
Sydney

Tokyo
Toronto
Zurich

Our Investment Firms

AUM in USD as of June 30, 2020. Siguler Guff data is as of March 31, 2020.

Great minds don't think alike: the power of independent thinking.



\$36.3 billion

Global sub-investment grade debt asset management.



\$4.3 billion

Brazilian equity; long/short; macro; corporate bonds and fixed income strategies.



\$265.1 billion

Full array of money market funds and select offshore liquidity funds.



\$908.7 billion

Leader in global fixed income, risk management solutions and absolute return investing.



\$534.0 billion

A global multi-specialist investment manager with a full spectrum of research-driven solutions.



\$57.0 billion

Active manager of equity, bond, multi-asset, absolute-return, income and sustainable solutions within a global thematic framework.



\$13.9 billion

Multi-strategy private equity investing, direct investment and multi-manager funds.

WALTER SCOTT

\$73.9 billion

Global equity investment management.

Investment Strategies

▲ Active | ▼ Index/Enhanced | ■ Fund-of-Funds

Alcentra
ARX
Dreyfus Cash Investment Strategies
Insight Investment
Mellon
Newton Investment Management
Siguler Gurf
Walter-Scott

Equity

Asia Pacific (Ex. Japan)							
Brazil	▲						
Developed (Ex. U.S.)				▼	▲		▲
Emerging Markets	▲			▼	▲		▲
European			▼	▼	▲		▲
Global				▼	▲		▲
Japan				▼			
Real Estate Securities (REITs)			▲	▼			
Smart Beta Equity Strategies				▼			
U.K.					▲		
U.S.				▼	▲		▲

Fixed Income

Asia Pacific				▲			
Cash Management (U.S., Euro, Sterling, Other)	▲	▲	▲	▼			
Convertible Bonds				▼			
Core/Core Plus			▲	▼			
Corporate Credit	▲		▲	▼			
Direct Lending	▲		▲	▼			
Emerging Markets		▲	▲	▼			
European	▲		▲	▲			
Global	▲		▲	▼	▲		
Government/Sovereign Fixed Income			▲	▼	▲		
High Yield	▲		▲	▼	▲		
Inflation-Linked			▲	▼			
Long Duration			▲	▼			
Mortgages			▲	▼			
Senior Secured Loans	▲		▼	▼			
Short/Intermediate Duration	▲		▲	▼			
Structured Credit/ABS	▲		▲	▼			
Tax-Sensitive				▲			
U.K.	▲		▲		▲		
U.S.	▲		▲	▼			

Alternatives

Absolute Return		▲		▲	▲	▲	
Global Macro				▲	▲		
Hedge Funds	▲	▲		■	▲		
Infrastructure				▲	▲		
Market Neutral/Long-Short		▲		▲	▲		
Master Limited Partnerships							
Multi-Strategy	▲	▲		■	▲		▲
Natural Resources/Commodities				▲	▲		■
Portable Alpha				▲	▲		▲
Private Equity							■
Private Real Estate				▲			■

Solutions

Balanced/Multi-Asset Class	■	▲		▼	▼	▲	▲
Dynamic Hedge Strategies (CPPI)				▲	▲		
FX/Currency Management				▲	▲		
Liability-Driven Investing				▼	▲		
Life Cycle/Target Date					▼		
Overlay/Futures/Swaps				▲	▲		
Responsible Investing				▲	▼	▲	▲
Risk Parity					▼		
Thematic					▲		

Please note: Not all strategies may be available to all investors in all markets.

BNY Mellon has been serving clients in Canada for over 30 years and has had a physical presence in the country since 1983. We currently maintain offices in Toronto, London (Ontario), Calgary, Halifax, Montreal and Vancouver and provide a comprehensive range of services in asset servicing, asset management, corporate trust, investment services, wealth management, treasury services and technology.

BNY Mellon Asset Management Canada oversees the distribution of investment services offered by BNY Mellon's affiliated investment management firms and is one of the leading investment management organizations in Canada.

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All investments involve risk including loss of principal. Certain investments involve greater or unique risks that should be considered along with the objectives, fees, and expenses before investing.

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Unless otherwise noted, all references to assets under management (which are approximate) are as of December 31, 2019. Assets under management (AUM)/overlay under management (OUM) for Mellon includes assets managed by those individual firms' officers as associated persons, dual officers or employees of BNY Mellon Investment Management. In addition, AUM/OUM for the following firms includes assets managed by the individual firms' officers as dual officers or employees of The Bank of New York Mellon: BNY Mellon Investment Adviser, Inc. and its Dreyfus Cash Investment Strategies division, Newton Investment Management (North America) Limited and Mellon Investments Corporation.

The Alcentra Group refers to the affiliated companies Alcentra Limited and Alcentra NY, LLC. AUM includes assets managed by both companies. • Dreyfus Cash Investment Strategies (CIS) is a division of BNY Mellon Investment Adviser, Inc. • Insight Investment advisory services in North America are provided through two different investment advisers registered with the Securities and Exchange Commission (SEC), using the brand Insight Investment: Insight North America LLC (INA) and Insight Investment International Limited (IIIL). The North American investment advisers are associated with other global investment managers that also (individually and collectively) use the corporate brand Insight Investment and may be referred to as "Insight" or "Insight Investment." • "Newton" and/or the "Newton Investment Management" brand refers to Newton Investment Management Limited. Newton is incorporated in the United Kingdom and is authorized and regulated by the Financial Conduct Authority in the conduct of investment business. Newton is registered with the U.S. Securities and Exchange Commission (SEC) as an investment adviser. Newton is a subsidiary of The Bank of New York Mellon Corporation. • Mellon Investments Corporation (Mellon) is a registered investment adviser and an indirect subsidiary of The Bank of New York Mellon Corporation. • Walter Scott North America, a division of BNY Mellon Securities Corporation a registered broker dealer. • BNY Mellon owns a 20% interest in Siguler Guff & Company, LP and certain related entities (including Siguler Guff Advisers, LLC). • BNY Mellon Investor Solutions personnel may offer products and services in their capacity as employees and officers of The Bank of New York Mellon (the "Bank"), including investment strategies that are developed by affiliated BNY Mellon investment advisory firms and managed by officers of such firms acting in their capacities as dual officers of the Bank. • BNY Mellon ARX (ARX) is the brand used to describe the Brazilian investment capabilities of BNY Mellon ARX Investimentos Ltda. ARX is an affiliate of The Bank of New York Mellon Corporation.

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7th Largest Asset Manager Worldwide: Willis Towers Watson, September 30, 2019. Based on discretionary assets under management of the top 500 asset managers at the end of 2018; does not include saving/current accounts or assets unrelated to investment business, money market funds, advisory portfolios, or transactional assets. Analysis based on data supplied by third parties in U.S. dollars.

5th Largest U.S. Institutional Money Manager: Pensions & Investments, June 1, 2020. Rankings based on a survey of 505 investment management firms that provided information in response to an online survey. In order to qualify for inclusion, the firm must manage assets for U.S. institutional tax-exempt clients. Ranked by total worldwide institutional assets under management as of 12/31/2019.